Attorney's Docket No. <u>003919.P009</u>

PATENT

3-9-04 MM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of:	

Neal et al.

)
Application No.: 09/900,733)

Filed: July 5, 2001

For: Automated Classification of Items)
Using a Knowledge Base

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313 Examiner: Not yet assigned

Art Unit: 2171

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INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that I am causing the above-referenced correspondence to be deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and that this paper or fee has been addressed to the Commissioner for Patents, Alexandria, VA 22313 Date of Deposit: August 22, 2003

Name of Person Mailing Correspondence:

April Worley

Signature

Date

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure

Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
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	(1) A statement pursuant to 37 C.F.R. §1.97(e); and

(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 8/22, 20 3

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Substitute for Form 1449/PTO			Complete if Known			
IP ENFORMATION DISCLOSURE				Application Number	09/900,733	
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/ STATEMENT BY APPLICANT				First Named Inventor:	Menin et al.	
PENFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Art Unit	2171		
				Examiner Name	Not yet assigned	
Sheepan	ABTUAL		of	1	Attorney Docket Number	003919.P009
10.			U.S. PATE	NT DOCUMENTS	3	
Examiner Initials*	Cite No.		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant
		Number-Kind Code ² (If known)				Passages or Relevant Figures Appear
		US-	5,428,735	6/1995	Kahl et al.	RECEIVED
		US-	5,511,186	4/1996	Carhart et al.	
		US-	5,715,444	2/1998	Danish et al.	AUG 2 6 2003
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		US-	6,023,683	2/2000	Johnson et al.	Technology Center 210

		FO	REIGN PATEN	IT DOCUMENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
		WO 98/49637	11/1998	Krellenstein		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
		Sullivan, D. "Unlock the Hidden Value in Documents", Oracle ConText Version 2, Databased Web Advisor, v16, n2, February 1998, pgs. 38-41.	

Examiner	Date	
Signature	Considered	

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English translation is attached

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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